

 <p><b>Financial Assistance Award</b></p> <p><b>DENALI COMMISSION</b>  510 L Street, Suite 410  Anchorage, Alaska 99501  (907) 271-1414 (phone)  (907) 271-1415 (fax)  www.denali.gov</p>		<b>Award Number</b>	01495-00		
		<b>Award Title</b>	Community Coordinator and Support Services		
		<b>Performance Period</b>	July 1, 2016 through September 30, 2016		
<b>Authority</b> 112 Stat 1854	<b>CFDA Number</b> 90.100	<b>Recipient Organization &amp; Address</b> Native Village of Shishmaref P.O. Box 72110 Shishmaref, AK 99772-2110  <b>Phone:</b> 907-649-3821 <b>Recipient DUNS #</b> 137258153 <b>TIN #</b> 92-0055867			
<b>Denali Commission Finance Officer Certification</b>					
<b>Cost Share Distribution Table</b>					
Accounting Code	New Funding		Prior Period Funding		Total
	Denali Commission	Other Contributors	Denali Commission	Other Contributors	
95670000	\$20,000.00		\$0.00		\$20,000.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
<b>Total</b>	<b>\$20,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$20,000.00</b>
This Financial Assistance Award approved by the Federal Co-Chair of the Denali Commission constitutes an obligation of federal funding.					
<b>Signature of Authorized Official - Denali Commission</b>  Electronically Signed		<b>Typed Name and Title</b> Mr. Joel Neimeyer Federal Co-Chair		<b>Date</b> 08/31/2016	

## AWARD ATTACHMENTS

Native Village of Shishmaref

01495-00

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1. Terms and Conditions
  2. Attachment A
  3. Attachment A - Appendix 1

**Financial Assistance Award Terms and Conditions  
Between the Denali Commission and Native Village of Shishmaref  
For Community Coordinator and Other Environmental Resilience Support  
Services  
Award No. 1495**

**1. Project Summary**

- a. Scope of Work: Provide a local Coordinator to facilitate Tribal, City, and Village Corporation efforts related to environmental resilience.
- b. Deliverables: A full time local hire Coordinator, and attendance at meetings directly related to flooding, erosion, permafrost degradation, and village relocation.
- c. Budget: The Commission is making \$20,000 available to the Native Village of Shishmaref (NVS) via this Financial Assistance Award (FAA). This amount includes all direct and indirect costs. All Commission funding is intended to be used for the scope of work identified in this FAA only. Any funds remaining after the full scope of work has been completed shall be returned to the Denali Commission consistent with 2 CFR 200.319.
- d. Delivery Method: The Local Coordinator will be identified in accordance with established local procedures and identified as key personnel in this FAA.
- e. Performance Period: The Period of Performance for this FAA is 1 July 2016 through 30 September 2016. In accordance with 2 CFR 200.309 Recipients can only incur obligations or costs against this FAA during the Period of Performance, unless specifically authorized in the Special Provisions (Section 14 of this document). If a project cannot be completed within the approved Period of Performance, an extension request must be made in accordance with the Commission's *Recipient Guidelines and Requirements* (RGR) document dated July 2015, available at [www.denali.gov](http://www.denali.gov).

More detailed information on scope, deliverables, budget, funding, project delivery method and/or management plan, and schedule are included in Attachment A dated 18 July 2016.

**2. Project Reporting and Commission Site Visits**

Progress reports and a close-out report are required under this FAA. Progress Reports shall be submitted at the frequency stipulated in the Special Provisions.

The Closeout Report shall be completed within 90-days of the end of the Period of Performance. All reports must be submitted using the Denali Commission's on-line Project Database System, available at [www.denali.gov/dcpdb](http://www.denali.gov/dcpdb). Commission staff or agents of the Commission may make visits to the project site and/or home office to monitor progress during and/or after the Period of Performance. The Recipient shall coordinate and make information available as necessary to facilitate any such site visits.

Refer to the *Recipient Guidelines and Requirements* document for further information related to reports and site visits.

### **3. Payments**

Payments under this FAA will be made in accordance with 2 CFR 200.305 by electronic transfer in response to a Standard Form 270 (SF-270) "Request for Advance or Reimbursement", submitted by the Recipient. If Pre-award Costs and/or Advance Payments are authorized under this FAA, the Special Provisions will indicate so. Requests for reimbursements may be made as needed. Refer to the *Recipient Guidelines and Requirements* document for further information about submitting SF-270's.

### **4. Modifications**

In accordance with 2 CFR 200.308, the Recipient shall report deviations in project scope, budget, delivery method, management plan, schedule, or changed site conditions to the Program Manager. The Recipient shall also submit written requests to the Program Manager for the replacement of Key Staff identified in the Special Provisions. Refer to the *Recipient Guidelines and Requirements* document for further information about modifying a Financial Assistance Award.

### **5. Financial Management, Record Keeping, Internal Controls, and Audits**

The Recipient must have financial management and record keeping systems that are consistent with 2 CFR 200.302. If the Recipient is a State organization it must expend and account for FAA funds in accordance with applicable State laws and procedures for expending and accounting for the State's own funds.

The Recipient must establish and maintain effective internal controls with respect to this FAA that are consistent with 2 CFR 200.303.

In accordance with 2 CFR 200.501, Recipients that expend \$750,000 or more of federal funds in a year shall have a single or program-specific audit conducted for that year.

Refer to the *Recipient Guidelines and Requirements* document for further information about financial management, record keeping, internal controls and audits.

## **6. Direct and Indirect Costs**

All direct costs must be allowable and reasonable. Indirect costs must be allocable based on accepted accounting policies and practices. Indirect costs must be specifically included as a line item or identified with appropriate notes in the approved FAA budget. Refer to 2 CFR 200 Subpart E (Cost Principles) and the *Recipient Guidelines and Requirements* document for further information on direct and indirect costs.

## **7. Sub-Awards and Contracts**

All sub-awards and contracts issued by the Recipient under this FAA must comply with 2 CFR 200.331 and Appendix II to 2 CFR 200. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

## **8. Acknowledgement of Support**

The Recipient shall display a sign that acknowledges the Government's support for construction projects funded in whole or in part under this FAA. The Recipient shall name the Denali Commission as a financial contributor and project/program partner in all media correspondence related to all work supported by this award. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

## **9. Real and Personal Property**

In accordance with 2 CFR 200.311, 313, and 316 the Denali Commission may require the Recipient to execute a security interest or other public notice of record to indicate that real or personal property acquired or improved, in whole or in part, with Federal funds is subject to a Federal interest. Any such requirements under this FAA, including post project reporting in accordance with 2 CFR 200.329, will be stipulated in the Special Provisions.

## **10. Conflict of Interest**

In accordance with 2 CFR 200.112, the Recipient must disclose in writing any potential conflicts of interest to the Commission. In addition, 2 CFR 200.318 requires that Recipients maintain written standards of conduct covering conflicts of interest and governing the performance of their employees engaged in the selection, award, and administration of contracts. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

## **11. Denali Commission Policies**

Recipients may be required to comply with certain published Denali Commission policies. Any such requirements under this FAA will be stipulated in the Special Provisions. Refer to the *Recipient Guidelines and Requirements* document for further information on individual policies.

## **12. Laws and Regulations**

Recipients are required to comply with all applicable Federal laws and regulations. General categories of potentially applicable laws and regulations are summarized below. Refer to the *Recipient Guidelines and Requirements* document for further information on specific laws and regulations that may apply.

- a. Debarment and Suspension
- b. Whistle-blower Protection
- c. Non-Discrimination
- d. Lobbying and Propaganda
- e. Environmental
- f. Drug-Free Workplace
- g. Travel
- h. Human Rights
- i. Animal Welfare
- j. Executive Compensation

## **13. Other Provisions**

- a. The United States expressly disclaims any and all responsibility or liability to the Recipient or sub-recipients for the actions of the Recipient or sub-recipients resulting in death, bodily injury, property damages, or any other losses resulting in any way from the performance of this FAA, including sub-awards, contracts, or sub-contracts issued in connection with this FAA.
- b. To the maximum extent practicable, considering applicable laws, the Recipient shall accomplish the project contemplated by this FAA using local Alaska firms and labor.
- c. All terms and conditions contained in this FAA apply to any sub-recipient under this FAA.
- d. Failure to comply with the provisions of this FAA or maintain satisfactory performance may result in additional FAA conditions pursuant to 2 CFR 207. This includes but is not limited to: temporarily withholding of payments pending the correction of the deficiency; disallowance of project costs; wholly or partially suspending or terminating the FAA. In addition, failure to comply with the provisions of this FAA may also have a negative impact on the Recipient's eligibility for future Federal awards.

## **14. Special Provisions**

Progress Reports: Quarterly

Pre-award Costs: 7/1/16 and forward.

Key Staff: to be identified by NVS

Advance Payments: allowed

Federal Property Interests and Reporting Requirements: n/a

Denali Commission Policies: n/a

Bonds and Insurance: n/a

### 15. Program Manager, Financial Manager & Other Contact Information

Denali Commission	Native Village of Shishmaref
Chris Allard, PE ETC Program Manager 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-1414 Fax: 907-271-1415 E-mail: callard@denali.gov	Jane Stevenson Tribal Coordinator P.O. Box 72110 Shishmaref, AK 99772 Phone: 907-649-3821 Fax: 907-649-2104 Email: tc.shh@kawerak.org
Janet Davis Grants Management Officer 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-1414 Fax: 907-271-1415 E-mail: ceilo@denali.gov	Gloria Pootoogooluk Comptroller P.O. Box 72110 Shishmaref, AK 99772 Phone: 907-649-3821 Fax: 907-649-2104 Email: irageneral@gci.net

**Attachment A  
to  
Financial Assistance Award  
Between the Denali Commission and the Native Village of Shishmaref  
For Community Coordinator**

Scope of Work

Provide a full time local coordinator who can serve as the point of contact for federal and state agencies and programs related to preparing for and responding to environmental threats related to flooding, permafrost degradation, and erosion. The Local Coordinator will represent Tribal, City, and Corporation interests at ETC related meetings, and facilitate communications between the Community and federal and state agencies.

The Coordinator may travel outside the community for ETC related meetings.

Deliverables

Full time Community Coordinator

Budget

The Commission is making \$20,000 available to the Native Village of Shishmaref for an initial 3 months of the Community Coordinator position.

Schedule

The initial performance period for this FAA is 1 July 2016 through 30 September 2016. The performance period may be extended by amendment.



**Attachment A - Appendix 1**  
**FAA Between Denali Commission and Native Village of Shishmaref**  
*18 July 2016*

<i>line</i>	Item/Activity	FY 2016		Total	Notes
1	Local Coordinator				
2	Direct Cost	15,600		15,600	based on \$62,400 annual salary; 3 mo in FY16
3	Travel	2,580		2,580	
4	Tribal Indirect Costs	1,820		1,820	10% of line 2 and 3 direct costs <sup>a</sup>
5	Subtotal	\$20,000		\$20,000	
6					
7	Amount of Award			\$20,000	
					<sup>a</sup> NVS does not have a federally negotiated indirect cost rate
					use the 10% de-minimus rate in 2CFR 200.414